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<p>(21) International Application Number: <b>PCT/US00/05304</b></p> <p>(22) International Filing Date: <b>29 February 2000 (29.02.00)</b></p> <p>(30) Priority Data: 09/260,387 1 March 1999 (01.03.99) <b>US</b></p> <p>(71) Applicant: <b>SUN MICROSYSTEMS, INC. (US/US); 901 San Antonio Road, Palo Alto, CA 94303 (US).</b></p> <p>(72) Inventor: <b>GROUPEL, William, L.; 103 Boxford Place, San Ramon, CA 94583 (US).</b></p> <p>(74) Agent: <b>KIVLIN, B., Noel; Conley, Rose &amp; Tayon, P.C., P.O. Box 398, Austin, TX 78767-0398 (US).</b></p>		<p>(81) Designated States: <b>AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).</b></p> <p><b>Published</b> With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</p>
<p>(54) Title: <b>COMPUTER SYSTEM WITH DUMMY DRIVE FOR OPTIMAL COOLING EFFICIENCY</b></p> <div data-bbox="386 1144 1226 1690"> </div> <p>(57) Abstract</p> <p>A data processing system or data storage system to be connected to a processor require cooling of electronic components. Systems with unused disk drive slots are mounted with dummy disks to provide an air flow resistance effective to substantially duplicate the air flow of a functional disk drive located in a disk drive bay. Cooling air flow is directed to functional components for maximal cooling efficiency.</p>		

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WO 00/52695

PCT/US00/05304

**TITLE: COMPUTER SYSTEM WITH DUMMY DRIVE FOR OPTIMAL COOLING EFFICIENCY****BACKGROUND OF THE INVENTION**

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**1. Field of the Invention**

The invention is related to the field of computer systems, and more particularly to air flow cooling of computer systems configured to contain at least one disk drive. The invention is also related to computer subsystem configurations, and the maintenance of optimal air flow and cooling of functional components in a computer system that contains unused disk drive slots.

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**2. Description of the Relevant Art**

A typical computer system includes multiple electronic components, including a central processing unit or "processor", positioned within an enclosure and data storage components such as disk drives. Certain systems include external or internal data storage subsystems that are variously configured. Such subsystems may include a RAID (redundant array of inexpensive disks) or a JBOD (just a box of disks) configuration, and may include multiple electronic components including disk drive subassemblies. During operation, such electronic components dissipate electrical power (i.e., transform electrical energy into heat energy). At the same time, several key operating parameters of semiconductor electronic devices typically vary with temperature, and reliable device operation within specifications occurs only within a defined operating temperature range. For example, specified performance of a processor is typically achieved only when the temperature of the processor is maintained below a specified maximum operating temperature. Operation of the processor at a temperature above the maximum operating temperature may result in irreversible damage to the processor. In addition, it has been established that the reliabilities of semiconductor electronic devices decrease with increasing operating temperature.

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The heat energy produced by electronic components during operation must thus be removed to a surrounding ambient at a rate that ensures operational and reliability requirements are met. As component speeds and capabilities increase, so does the amount of electrical power dissipated by the components during operation. Cooling mechanisms employed by computer systems must thus transfer more heat energy from the computer system enclosure to the surrounding ambient.

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A hard disk drive enclosure is often an external cabinet that contains multiple hard drives for data storage and is connected to a computer system. Such a cabinet may contain many disk drive slots, each configured to receive a disk drive. Often a system is sold with less than the full capacity of disk drives, thus leaving some of the slots unoccupied and available for future increased data storage capacity. The cooling system in a hard disk drive enclosure is critical and must be designed to handle the maximum heat load, e.g. when all the disk drive slots contain functional disk drives. When an enclosure is sold as an entry level system with a minimum number of drives installed, the unoccupied areas of the cabinet may cause an imbalance in the air flow. In a system with less than the full capacity of drives installed, the majority of the cooling air flows through the large gaps within the system reserved for future disk drive installation, rather than flowing across the installed, functional disk drives where the cooling is needed.

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WO 00/52695

PCT/US00/05304

### SUMMARY OF THE INVENTION

The problems outlined above are in large part solved by a computer or data storage system that includes one or more dummy drives for installation in any vacant disk drive slots or positions. A dummy drive, as disclosed herein, may have a shape that substantially duplicates the three-dimensional shape of a disk drive, particularly a hard disk drive, including the hard drive assembly (HDA) and any brackets, carriers, or latching mechanisms that may be attached to a hard drive assembly. A dummy drive may be mounted or placed in an unoccupied disk drive slot in a system, and would then substantially duplicate the air flow resistance of a functional disk drive that may or may not be mounted in that slot at some future time. When all unused disk drive slots have a dummy drive installed, the interior of the system has substantially the air flow characteristics of a system with a full capacity of functional disk drives. This arrangement allows the cooling system air to cool the functional disk drives at maximal efficiency. As new functional disk drives are added to the system, a dummy drive in the selected slot may be removed and replaced with the functional disk drive. It is also understood that it is not required that all available disk drive slots have a functional disk drive or a dummy disk in order to improve the air flow and cooling efficiency of the system. Any use of one or more dummy drives as disclosed herein would fall within the spirit and scope of the present disclosure.

In certain embodiments a dummy drive as disclosed herein may be a non-functional hard drive assembly with the connectors disabled or removed. For example, such a hard drive assembly may have the connector removed, or it not include a hard disk, or it may not include any functional electronic components. The hard drive assembly would thus have the outer shape of a disk drive and would be effective in the practice of the present invention. Dummy disks may also be molded from an inexpensive material, such as a plastic, a polymer, a polyfoam plastic, a polystyrene or even expanded polystyrene material. The material may preferably be flame retardant so as to pass appropriate safety agency requirements for components inside a computer system. The dummy drives may be designed to mimic the appearance of functional drives including any bracket, carrier, latch or other attachments to the hard drive assembly. For example, a bracket or carrier may be attached to a hard drive assembly in order to configure that assembly for mounting in a particular system. A geometry substantially duplicating the shape of any of such hardware or attachments may also be incorporated into a dummy drive. A dummy drive may also include instructions molded into or attached to each dummy drive for installation, removal and/or replacement of the dummy drives as necessary. It is contemplated that the dummy drives may be discarded when they are replaced in the system with functional drives.

Dummy drives as disclosed herein may be used in any type of device or cabinet that has the capacity to contain disk drives, particularly those that contain multiple hard disk drives. Such devices would include, but are not limited to personal computers, any computer system with an electro-mechanical mass storage device or with connection to such a device, and external mass storage devices. Some such devices contain arrays of hard disk drives connected in a manner known in the field as RAID (redundant array of inexpensive disks), JBOD (just a box of disks) or by other acronyms or names that indicate a number of disks connected to a processor for mass data storage. External data storage devices or cabinets that are known in the art include desk-side towers or rack mounted cabinets that are configured to contain a number of disk drives. A "hard disk," as used herein, indicates a disk in which the storage media is not routinely removable separately from the drive, as is the case with a floppy disk or a CD-ROM. The definition of a hard drive does include a "hot swap" or "hot swappable"

WO 00/52695

PCT/US00/05304

drive in which the entire hard drive is removable by the operator for secure overnight storage, for example. As used herein a disk drive "slot" is meant to indicate a space provided for installation of a disk drive, including any rails, channels, or other configuration incorporated into a cabinet or system to accommodate a disk drive.

#### BRIEF DESCRIPTION OF THE DRAWINGS

The following drawings form part of the present specification and are included to further demonstrate certain aspects of the present invention. The invention may be better understood by reference to one or more of these drawings in combination with the detailed description of specific embodiments presented herein.

FIG. 1 is a perspective view of an exploded external data storage system configured to contain 8 disk drives.

FIG. 2 is a perspective view of a data storage system with several empty hard drive slots.

FIG. 3 is a perspective view of a data storage system with one empty hard drive slot.

FIG. 4 is a perspective view of a data storage system with 8 hard drives installed and 4 dummy drives installed.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

Figure 1 is an exploded view of an embodiment of an external data storage cabinet 10 that is configured to connect to a processing unit. The device includes side skins 40, a bottom 42, a top 44, and feet 46. The rear panel is not shown. The cooling system for this cabinet comprises two fan trays 12 that are disposed along the sides of the rear portion of the cabinet when assembled. The fans in this embodiment are centrifugal fans, configured such that the intakes 14 face a chamber 22 that contains two power supplies 16 (only one is shown in the drawing) and a controller board (not shown) that provides connection from the disk drives to a processing unit. The fan exhaust openings are to the rear 18 of the fan trays. A connector board 20 separates the chamber 22 from the disk drive bays 24. The cabinet 10 shown in Figure 1 is designed to hold eight 1.6" disk drives as can be seen by the eight connectors 26 on the connector board 20. Other embodiments of a data storage cabinet may provide slots and connectors for twelve 1" disk drives. Of course, the number and sizes of the drives is dependent on the size and design of the cabinet, and any cabinet or device configured with connections for a hard disk drive could embody the present invention if dummy drives are used. It is also understood that any size or shape of disk drive can be duplicated in light of the present disclosure in order to replace any embodiment of a functional disk drive. All such uses are included in the scope of the present disclosure.

Although the drawings attached hereto are directed to a stand alone cabinet, dummy drives as disclosed herein may be used in any type of device or cabinet that has the capacity to contain disk drives, particularly those that contain multiple hard disk drives. Such devices would include, but are not limited to personal computers, any computer system with an electro-mechanical mass storage device or with connection to such a device, and external mass storage devices as shown herein. Some such devices contain arrays of hard disk drives connected in a manner known in the field as RAID (redundant array of inexpensive disks), JBOD (just a box of disks) or by other acronyms or names that indicate a number of disks connected to a processor for mass data storage. A RAID system includes mirrored data on more than one disk to provide backup in the event that a

WO 00/52695

PCT/US00/05304

disk becomes inoperable or otherwise unreadable. A JBOD configuration includes multiple disks connected to a processor, but each individual disk may be independently connected, and the disks are not necessarily redundant. External data storage devices or cabinets that are known in the art include desk-side towers or rack mounted cabinets that are configured to contain a number of disk drives.

5 In the cabinet shown in Figure 1, the disk drives stand in the bays 24 in vertical orientation and connect to the connectors 26 on the connector board 20 at the rear of the disk drive bays 24. The front of the cabinet 10 includes a bezel 28 and a door 30. Attached to the front of the door 30 is a grill 32 which serves as the intake for the air cooling system. In the pictured embodiment, the cooling air flow is drawn in through the grill 32, passes over and around the disk drives in the disk drive bays 24, passes through openings in the connector board 20  
10 and into the chamber 22. In the chamber, the air is drawn across the controller board and the power supplies 16, into the fan tray intake openings 14, and is exhausted out to ambient through the rear of the fan trays 18.

An embodiment of a cabinet 100 with 12 hard drive slots is shown in Figures 2-4. Hard disk drives 102 are installed in 8 of the slots. One hard disk drive 104 is partially removed for purposes of illustrating the invention. One dummy drive 106 is installed in the device, and a second dummy drive 108 is removed. A  
15 latching mechanism 120 is shown attached to the top of hard drive 104. Dummy drive 108 is molded with a projection 122 along the top to duplicate the shape of the latch device 120.

As can be seen from Figure 2, the hard drive bay 24 on the right with 3 open hard drive slots is largely empty when compared with the bay on the left, in which all the slots are filled. Air being pulled through the grill 32 in the door 30 by the fan driven cooling system will naturally follow the path of least resistance, and  
20 would therefore flow through the large opening where no hard drives or dummy drives are installed, rather than across the functional hard drives where the cooling is needed. Figure 3 is a drawing of cabinet 100 in which 3 dummy drives are installed and 1 slot is empty. The cooling of this system would be more efficient than the configuration shown in Figure 2, but would not be optimal. Figure 4 illustrates the same cabinet 100 with all slots occupied by either a functional hard drive 102 or a dummy drive 106.

25 In certain embodiments a dummy drive 106 as disclosed herein may be a non-functional hard drive assembly with the connectors disabled or removed. For example, such a hard drive assembly may have the connector removed, or it not include a hard disk, or it may not include any functional electronic components. The hard drive assembly would thus have the outer shape of a disk drive and would be effective in the practice of the present invention. Dummy drives 106 may also be molded from an inexpensive material, such as a  
30 plastic, a metal, a polymer, a polyfoam plastic, a polystyrene or even expanded polystyrene material. The material may preferably be flame retardant so as to pass appropriate safety agency requirements for components inside a computer system. It is an object of the present disclosure to provide an inexpensive, throw away device that is easily replaced as hard drives are acquired. Therefore, any inexpensive material can be used to make the dummy drives disclosed herein.

35 The dummy drives may be designed to mimic the appearance of functional drives including any bracket, carrier, latch or other attachments to the hard drive assembly. As shown in Figure 2, a projection 122 that substantially duplicates a latching mechanism may be molded onto the dummy drive. Any other attachments normally used for hard drive installations, including a brackets or carriers may also be molded into

WO 00/52695

PCT/US00/05304

the dummy drive. A dummy drive may also include written instructions molded into or attached to each dummy drive that explain installation, removal and/or replacement of the dummy drives as necessary.

5 While the devices of this invention have been described in terms of preferred embodiments, it will be apparent to those of skill in the art that variations may be applied to the described embodiments described herein without departing from the concept, spirit and scope of the invention. More specifically, it will be apparent that certain equivalent structures and methods may be substituted for those described herein while the same or similar results would be achieved. All such similar substitutes and modifications apparent to those skilled in the art are deemed to be within the spirit, scope and concept of the invention as defined by the appended claims.

WO 00/52695

PCT/US00/05304

## WHAT IS CLAIMED IS:

1. A data processing system comprising:  
a disk drive slot, configured to contain a disk drive;  
5 an air flow cooling system configured to provide cooling to a disk drive contained in said disk drive slot;  
a dummy drive mounted within said disk drive slot and configured to substantially duplicate the air flow resistance of a functional disk drive mounted within said disk drive slot.
- 10 2. The data processing system of claim 1, wherein said system comprises a plurality of disk drive slots.
3. The data processing system of claim 1, wherein said disk drive slot is contained in a computer.
4. The data processing system of claim 1 wherein said disk drive slot is contained in a data storage device  
15 configured to connect to a computer.
5. The data processing system of claim 4, wherein said data storage device comprises a deskside tower cabinet.
- 20 6. The data processing system of claim 1, wherein said system comprises a plurality of disk drive connectors configured in a "redundant array of inexpensive disks" (RAID) disk array.
7. The data processing system of claim 1, wherein said system comprises a plurality of disk drive connectors configured in a "just a box of disks" (JBOD) array.
- 25 8. The data processing system of claim 1, wherein said dummy disk comprises a fire resistant material.
9. The data processing system of claim 1, wherein said dummy disk is disposable after use.
- 30 10. The data processing system of claim 2, wherein each of said plurality of disk drive slots contains a functional hard drive or a dummy disk.
11. The data processing system of claim 1, wherein said dummy disk comprises a plastic or a polymer.
- 35 12. The data processing system of claim 1, wherein said dummy disk comprises polystyrene.
13. The data processing system of claim 1, wherein said dummy disk drive is configured to substantially duplicate the three dimensional geometry of a functional disk drive and mounting hardware.



WO 00/52695

PCT/US00/05304

14. A computer system comprising:  
an external data storage cabinet configured to contain a plurality of disk drives;  
an air flow cooling system configured to cool said disk drives; and  
one or more dummy disks configured to be mounted within said cabinet;  
5 wherein each of said one or more dummy disks, when mounted in said cabinet, substantially duplicates  
the air flow resistance of a functional disk drive configured to be mounted in said cabinet.
15. The computer system of claim 14, wherein said external data storage cabinet comprises a "redundant  
array of inexpensive disks" (RAID) configuration.
- 10 16. The computer system of claim 14, wherein said external data storage cabinet comprises a plurality of  
disk drive connectors comprise a "just a box of disks" (JBOD) configuration.
17. The computer system of claim 14, wherein said one or more dummy disks comprise a molded polymer.
- 15 18. The computer system of claim 14, wherein said one or more dummy disks are configured to  
substantially duplicate the three dimensional geometry of a functional disk drive configured to be mounted in  
said cabinet.
- 20 19. A data storage cabinet configured to be connected to a data processing system, said cabinet configured  
to contain a plurality of disk drives and said cabinet comprising:  
an air flow cooling system configured to cool said disk drives; and  
one or more dummy disks configured to be mounted within said cabinet;  
wherein each of said one or more dummy disks, when mounted in said cabinet, substantially duplicates  
25 the air flow resistance of a functional disk drive mounted in said cabinet.
20. The data storage cabinet of claim 19, wherein said cabinet comprises a "redundant array of inexpensive  
disks" (RAID) configuration.
- 30 21. The data storage cabinet of claim 19, wherein said external data storage cabinet comprises a plurality  
of disk drive connectors comprise a "just a box of disks" (JBOD) configuration.
22. The data storage cabinet of claim 19, wherein said one or more dummy disks comprise a molded  
polymer.
- 35 23. The data storage cabinet of claim 19, wherein said one or more dummy disks are configured to  
substantially duplicate the three dimensional geometry of a functional disk drive configured to be mounted in  
said cabinet.

WO 00/52695

PCT/US00/05304

1/4

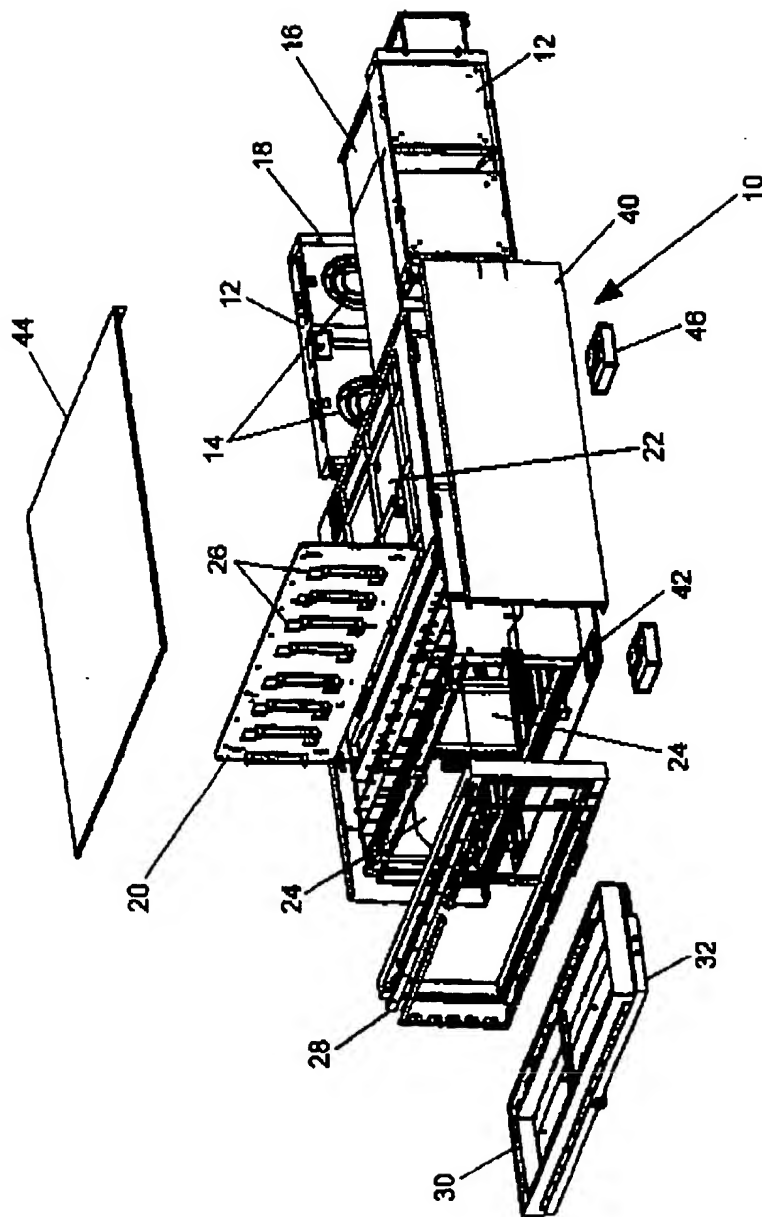


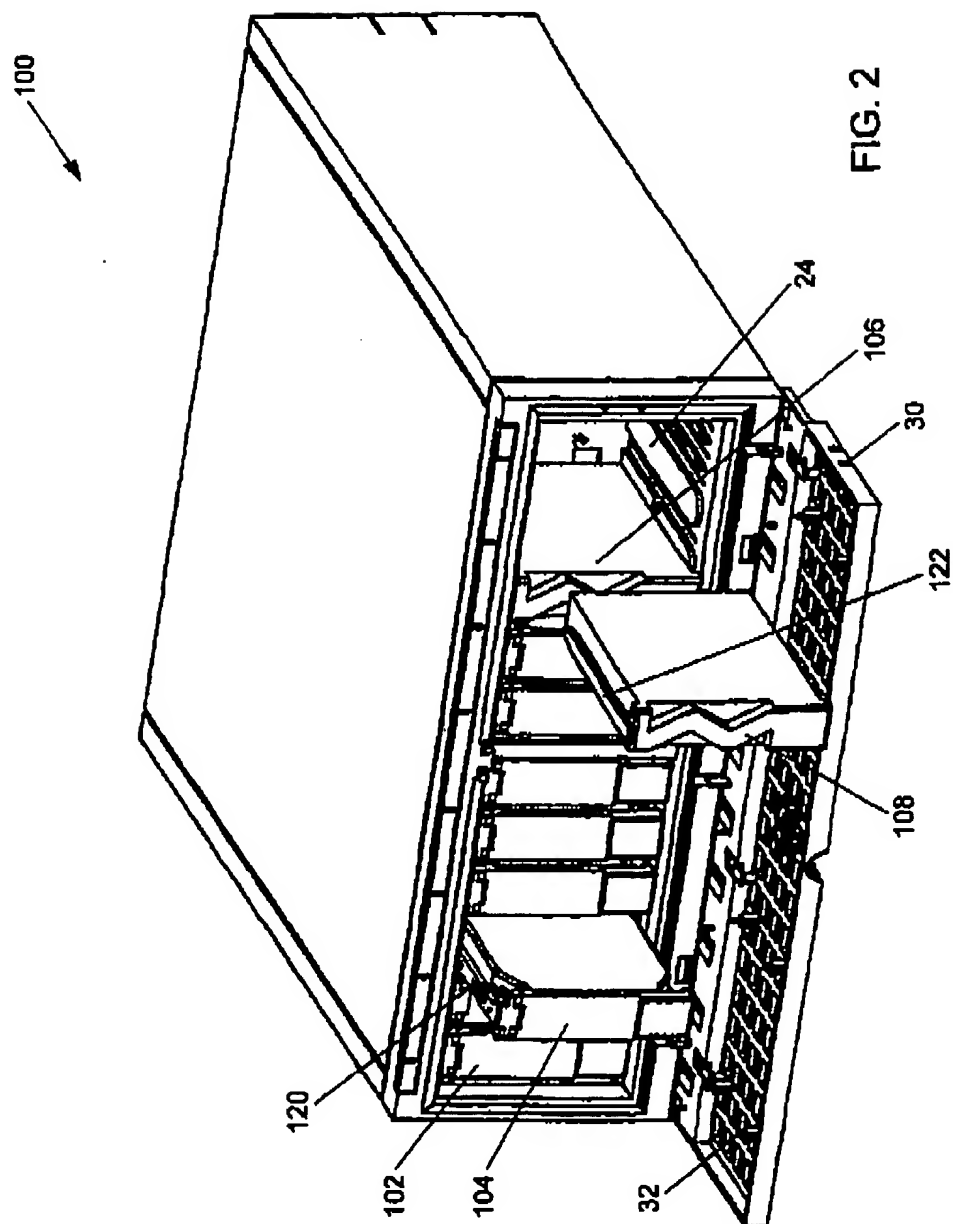
FIG. 1

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WO 00/52695

PCT/US00/05304

2/4

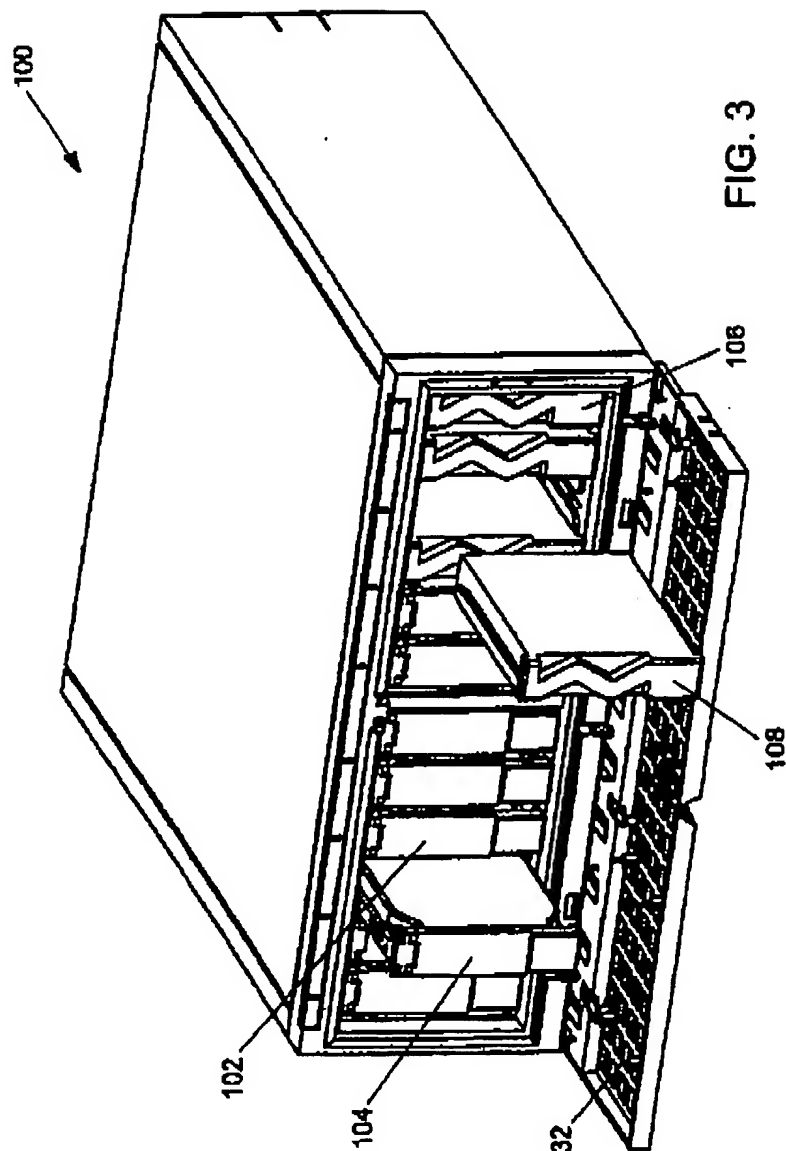


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3/4

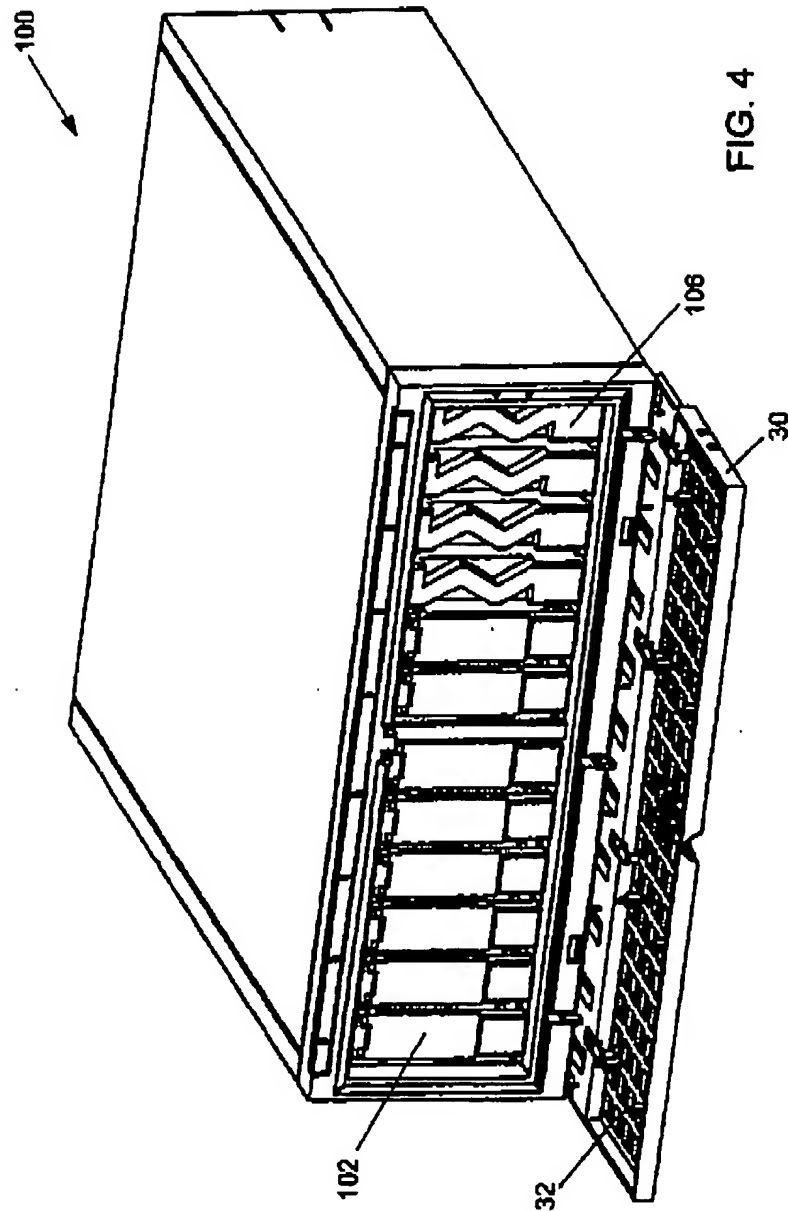


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4/4



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 A. CLASSIFICATION OF SUBJECT MATTER  
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Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

WPI Data, PAJ, IBM-TDB

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5 006 959 A (FREIGE D EDMOND ET AL) 9 April 1991 (1991-04-09) column 9, line 52 - line 59	1, 14, 19
A	PATENT ABSTRACTS OF JAPAN vol. 1995, no. 06, 31 July 1995 (1995-07-31) & JP 07 066577 A (FUJITSU LTD), 10 March 1995 (1995-03-10) abstract	1, 14, 19
A	US 4 894 749 A (ELKO GARY W ET AL) 16 January 1990 (1990-01-16) column 3, paragraph 3 - column 4, paragraph 3	1, 14, 19
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page 1 of 2

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Int. Application No.

PCT/US 00/05304

## C. (Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

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A	US 5 822 184 A (RABINOVITZ JOSEF) 13 October 1998 (1998-10-13) the whole document	1, 14, 19

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page 2 of 2

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Information on patent family members

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